

# FE Modeling and Seismic Performance Evaluation of Hybrid SMA-Steel RC Beam-Column Joints

Mostafa Rezvanisharif<sup>a\*</sup> 

Mohammad Sadegh Ketabi<sup>a</sup> 

<sup>a</sup> Civil Engineering Faculty, K. N. Toosi University of Technology, Tehran, Iran. E-mail: Rezvanisharif@kntu.ac.ir, E-mail: msketabi@mail.kntu.ac.ir

\*Corresponding author

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## Abstract

Severe permanent deformations of buildings during earthquakes have been found as one of the major causes of the instability and failure of structures. The application of superelastic Shape Memory Alloy rebars at the plastic hinge region of reinforced concrete beam-column joints (SESMA-BCJs) can eliminate the seismic residual deformations. Such self-centering structures can maintain their reusability even after severe earthquakes. This research proposes a simple - yet practical - technique for more accurate modeling of SESMA-BCJs using VecTor2 software. Both material and geometric nonlinearity were considered. Efficiency and versatility of the modeling approach were verified against a number of test results at both material and structural levels. According to the results, the adopted modeling approach can be reliably used for the prediction of the cyclic response of hybrid SESMA-BCJs under changing various design parameters which are beyond the scope of the experimental tests. Furthermore, using the calibrated model, a comprehensive seismic parametric study was conducted to investigate the influence of various design parameters on the seismic performance of SESMA-BCJs. Finally, a new Fe-based SESMA-BCJ with a lower amount of SESMA and higher seismic performance than NiTi-based BCJs was introduced and numerically investigated.

## Keywords

nonlinear FE modeling; hybrid SMA-steel beam-column joint; shape memory alloy; self-centering; smart material

## 1 INTRODUCTION

Severe residual deformations of buildings and bridges during earthquakes have been found as one of the major causes of instability and failure of structures (Alam et al., 2007). Reuse of these structures is usually impossible or, if possible, requires repairing and strengthening with high costs. Therefore, “self-centering” structures with the ability to return to the initial position have been considered by some researchers. Self-centering along with the ability to dissipate the earthquake energy through energy dissipation devices are the main features of these structures. In self-centering reinforced concrete (RC) structures, such systems usually involve the post-tensioned reinforcement, complex steel detailing, and precast concrete segments. While the complexity of implementing such systems restricts their use in the construction industry (Oudah, 2015). As an alternative and simple technique toward construction of self-centering RC structures, superelastic Shape Memory Alloy (SESMA) can be used as the flexural reinforcement in the plastic hinge region of monolithic beam-column joints. These hybrid SMA-steel BCJs can potentially regain their original shape even after a severe earthquake (Alam et al., 2007).

Superelastic Shape Memory Alloys (SESMA) can undergo large strains and return to their initial shape after the removal of stress (Jalaeifar and Asgarian, 2013). This novel material (SMA) has found increasing applications in engineering fields. An extensive review concerning the use of SMA in civil engineering structures has been presented by

Janke et al. (2005), Song et al. (2006), and Ozbulut et al. (2011). Some of these studies have experimentally investigated the application of SMA as reinforcing bars in structural components of reinforced concrete structures. For example, Saiidi and Wang (2006) used SMA rebars in the plastic hinge region of RC Columns. These tests showed that SMA-RC columns were able to recover nearly all of the post-yield deformation, thus requiring minimal repair. Saiidi et al. (2007) explored the ability of NiTi (SESMA) to recover and reduce permanent deformations of concrete beams. Youssef et al. (2008) tested two large-scale beam-column joint subassemblies under reversed cyclic loading. These tests indicated that the hybrid SMA-BCJs were able to recover most of the permanent deformations. Thus, they would require a minimum amount of repair even after a strong earthquake. Saiidi et al. (2009) attempted to reduce permanent damages and deformations in RC bridge columns subjected to strong seismic loading using a combination of ECC and SMA bars in the plastic hinge zone of columns. Nehdi et al. (2010) experimentally investigated a SMA-FRP hybrid RC beam-column joint reinforced with superelastic Ni-Ti SMA bars at the plastic hinge region of the beam and FRP in the other regions of the beam and column. Abdulridha et al. (2013) carried out seven tests on simply supported flexure-critical concrete beams reinforced with either SMA bars in the critical region or conventional steel reinforcement. Also, they developed a preliminary constitutive model for superelastic SMA that was applicable to nonlinear finite element modeling. Oudah (2015) experimentally and numerically investigated the seismic performance of innovative self-centering concrete beam-column connections reinforced with SMA bars. While the plastic hinges were relocated by moving vertical slots away from the column face. Abdulridha and Palermo (2017) explored the seismic performance of hybrid SMA-steel RC shear wall. In other experimental investigation conducted on the RC shear walls, Cortés-Puentes and Palermo (2017) developed a self-centering device using SMA bars to improve the seismic performance of deficient squat shear walls. Oudah and El-Hacha (2017) investigated the effectiveness of screw lock anchors for anchoring SESMA bars in reinforced concrete units.

There are other studies that have numerically investigated the application of SMA bars in RC members. For instance, Alam et al. (2008) studied the applicability of the existing rules to predict some of the seismic characteristics of SESMA-steel beam-column joints including width and spacing of concrete cracks and the length of the plastic hinge region. Roh and Reinhorn (2010) investigated the use of SESMA bars for improving the hysteretic performance of post-tensioned (PT) segmental bridge piers. Alam et al. (2012) estimated seismic over strength and ductility of concrete buildings reinforced with superelastic Shape Memory Alloy rebars. Other numerical works in this field can be found in the publications: (Wierschem and Andrawes, 2010), (Zafar and Andrawes, 2012), (Billah and Alam, 2012), (Tazarv and Saiidi, 2014), (Zafar and Andrawes, 2015).

According to the literature review, the number of studies investigating hybrid SMA-steel beam-column joints are very limited and detailed information about the seismic performance of these connections under changing different design parameters is not available now. On the other hand, various provisions and design guidelines need to be developed for establishing SMA as reinforcement in real RC structures. Therefore, more experimental and numerical investigations should be conducted in this field. Hence, the contribution of the present study may be summarized as follows:

1. Modifying the constitutive model of SMA in the numerical model of VecTor2 for more accurately reproducing the cyclic response of hybrid SMA-steel beam-column joints.
2. Evaluating the accuracy and applicability of the adopted modeling approach against a number of test results at both the material and structural levels.
3. Conducting a comprehensive seismic parametric study that investigates the effect of different design parameters on the seismic performance of hybrid SMA-BCJs.
4. Proposing an approach for reducing the amount of required SESMA and enhancing the seismic performance of hybrid SMA-steel beam-column joints, and investigating its efficacy through a numerical study.

## 2 NONLINEAR FE MODELING

In the present research, 2D FEM software VecTor2 (Ver. 3.5) was used to assess the seismic performance of self-centering hybrid SESMA BCJs. This software is a nonlinear finite element program which can analyze two-dimensional reinforced concrete membrane structures. The solution algorithm of this software is based on a total-load secant-stiffness formulation, while employing an incremental and iterative procedure, providing a robust and stable nonlinear solution with good convergence characteristics (Wong et al. 2013). VecTor2 utilizes a smeared, rotating-crack formulation for the cracked concrete modeling in accordance with the Disturbed Stress Field Model (Vecchio 2000) as an extension of the Modified Compression Field Theory (Vecchio and Collins 1986). In this program, the cracked concrete is considered as an orthotropic material.

## 2.1 Finite element modeling and validation of numerical models

Validation and calibration of numerical models are necessary to achieve realistic results. In the present study, the numerical predictions were validated against a number of experimental results presented in previous studies (Abdulridha et al. 2013; Omori et al. 2011; Oudah 2015; Tanaka et al. 2010; Youssef et al. 2008). These experiments include two sets of tests. The first set focuses on SESMA cyclic stress-strain behavior at the material level. While the second set includes the cyclic response of conventional (BCJs with only steel rebars) and hybrid beam-column joint assemblages (with both steel and SMA rebars). The second set was employed to show the accuracy, effectiveness, and applicability of the adopted FE modeling approach at the structural level.

### 2.1.1 FE meshing

In the finite element modeling, accurate meshing is an important factor in achieving more accurate results. Therefore, a mesh sensitivity analysis should be performed to select an adequate mesh size and to approximately avoid mesh-dependency (Abdelwahed et al. 2018; Najafgholipour et al. 2017; Sanabria Diaz et al. 2018). Indeed, it is to be investigated that the mesh size dependency does not significantly affect the overall response of the numerical models.

In the present study, at first, for each BCJ, a preliminary numerical model was made using the simple rectangular elements and a relatively coarse mesh in the range of about 80-100 mm. After selecting the proper constitutive models and defining their parameters, providing a relatively close agreement between the numerical predictions and experimental results, the number of elements was increased by applying progressively finer meshes until the numerical prediction stabilized and well matched with the experimental response. Figure 1 shows the effects of the element (mesh) size and type on the load-displacement response of the numerical model of JBC2 (a calibrated model which will be used for parametric study in sec. 3).

It was observed that employing a mesh size in the range of about 25-35 mm, with an aspect ratio lower than 1.5, at the critical zones where higher levels of yielding, bond-slip, and concrete damage are expected (particularly at the joint panel and the plastic hinge region), can provide sufficient accuracy. A similar suggestion could be found in the related studies (Ronagh and Baji 2014; Sagbas et al. 2011; Del Vecchio et al. 2015, 2016). In the other regions (outside the critical zones), the mesh size can be consistently increased (for example, up to 60 mm for JBC2). Figure 1a shows the superiority of the mesh size in the range of 25-60 mm as compared to larger sizes.

In order to study the effect of the element type on numerical responses, the concrete zones of the BCJs were also modeled using quadrilateral elements (higher-order than rectangular). The elapsed time of the analysis for the quadrilateral elements was more than that for the rectangular elements. However, no significant differences were observed in the analysis results (Figure 1b), and hence the rectangular elements were employed for the numerical modeling.

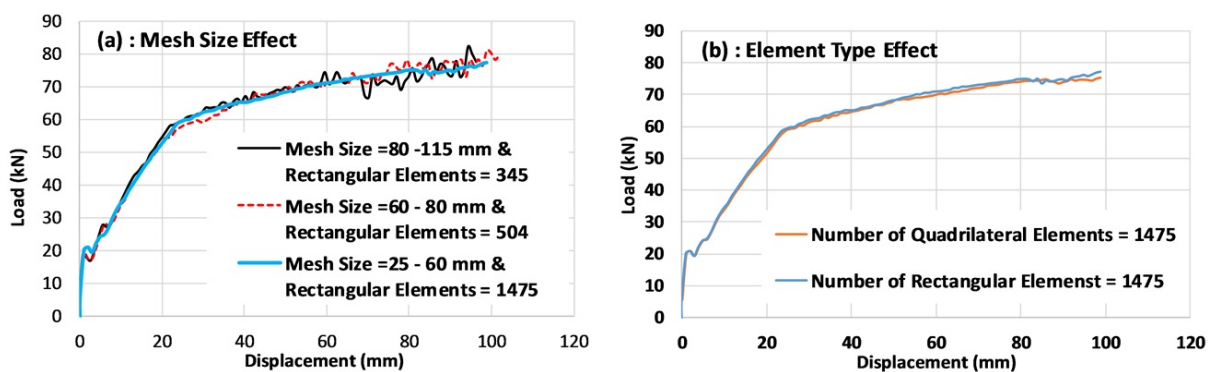


Figure 1: The effects of the element (mesh) size and type on the load-displacement response of JBC2

### 2.1.2 Concrete modeling

The concrete regions of the beam-column joint subassemblies were modeled using standard 2D plane stress rectangular elements (i.e., 8 DOF). The pre-peak and post-peak compression stress-strain behaviors of the concrete were modeled using Hoshikuma et al. model (Hoshikuma et al., 1997). In this model peak compressive stress  $f_p$  and corresponding strain  $\varepsilon_p$  depend upon the amount of hoop reinforcement. On the analysis process, these parameters are modified by a compression softening model (reducing the compressive strength and stiffness of cracked concrete due to coexisting transverse cracking and tensile straining) and a strength enhancement model (allowing for the confinement

effects). In the present study, for incorporating the compression softening effects, the “Vecchio 1992-A(e1/e2-Form)” model (Wong et al. 2013) (which is based on the model of Vecchio and Collins (1986)) and for considering the strength enhancement effects, “Kupfer/Richart” model (Wong et al. 2013) (which is an adapted and combined version of the models proposed by Kupfer et al. (1969) and Richart et al. (1928)) were used. Lateral expansion (concrete dilation) effects were modeled using “Variable Montoya with Limit” model (Montoya et al. 2006), incorporating the concrete dilation as a function of the concrete compressive strength and the lateral pressure ratio.

In tension, the “Lee 2011 (w/ Post Yield)” model (Lee et al., 2011) and the “Bilinear” model (Comité Euro-International du Béton 1993) were employed to account for the tension stiffening and tension softening effects, respectively. The Mohr-Coulomb criterion (a stress-based formulation (Wong et al. 2013)) was used as the cracking criterion. The cracking criterion calculates the concrete cracking strength  $f_{cr}$ , which is not generally equal to the input value of the concrete tensile strength  $f_t$ , under the effects of stress states.

For calculating shear stress on concrete cracks, the “Advanced Lee model” (Wong et al. 2013) was used. The formulation of this model is based on the “Disturbed Stress Field Model” (DSFM) (Vecchio 2000) and the “Modified Compression Field Theory” (MCFT) (Vecchio and Collins 1986), while employing different convergence criteria (bi-section criteria). Shear slip along the surfaces of cracks was calculated according to the “Vecchio-Lai (cyclic)” model (Vecchio and Lai 2004).

To simulate the hysteretic behavior of concrete, the “Palermo – Nonlinear with Cyclic Decay” model (Palermo and Vecchio, 2003) was utilized (Figure 2a). This model accounts for the damage mechanisms in the reloading, partial loading, and unloading phases. The concrete constitutive models used are summarized in Table 1.

### 2.1.3 Reinforcement modeling

In the present study, there are two types of reinforcement materials: steel and SESMA rebars. In order to model reinforcement, either discrete or smeared reinforcement element can be utilized. Both transverse (shear) and longitudinal (flexural) reinforcements were modeled using discrete two-node truss elements. Smeared reinforcement was used to model the out-of-plane confinement effects provided by the hoops or closed stirrups and ties. The couplers were modeled by a truss bar with the equivalent cross-sectional area, length, and material properties. “Seckin model with Bauschinger effect” (Seckin, 1982) was employed for modeling the hysteretic response of steel reinforcement (Figure 2b). The “SMA2” was utilized to model the superelastic behavior of the Shape Memory Alloy reinforcement bars (see Figure 2c). The SMA2 is a model from the VecTor2 which incorporates strain hardening as well as small strain offset (Wong et al., 2013). For more exact modeling of SESMA behavior, this model was modified by the parallel use of SMA2 and linear elastic behavior (see Sec. 2.2). Buckling (based on “Asazu model” (Asazu et al., 2001)) and dowel action (based on “Tassios model” (Tassios and Vintzēleou, 1987)) of steel and SESMA rebars were also considered.

**Table 1.** Material behavior models utilized in the modeling of concrete

Material Property	Model	Observation
Concrete Compression Pre-Peak and Post-Peak Responses	Hoshikuma et al. model (Hoshikuma et al. 1997)	----
Concrete Compression Softening	Vecchio 1992-A (Wong et al. 2013)	e1/e2-Form (The strength-and-strained softened model)
Concrete Tension Stiffening	Lee model (Lee et al. 2011)	With post-yield effects
Concrete Tension Softening	Bilinear (Comité Euro-International du Béton 1993)	----
Concrete Confined Strength	Kupfer/Richard Model (Wong et al. 2013)	----
Concrete Dilation	Variable Montoya (Montoya et al. 2006)	With a limitation on the Poisson's Ratio
Concrete Cracking Criterion	Mohr-Coulomb	Stress-based formulation
Concrete Crack Stress Calc.	Advanced Lee model (Wong et al. 2013)	A modified version of the DSFM/MCFT method (employing different convergence criteria)
Concrete Crack Slip Check	Vecchio-Lai model (Vecchio and Lai 2004)	With cyclic effects
Concrete Crack Width Check	Stability check omitted	----
Concrete Hysteretic Response	Palermo model (Palermo and Vecchio, 2003)	With decay effects

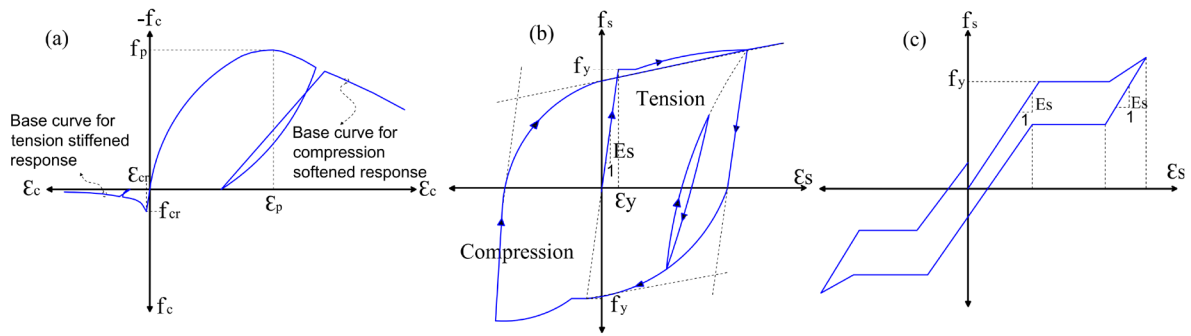


Figure 2: Hysteretic behavior models: (a) concrete in tension and compression, (b) steel reinforcement, and (c) SESMA rebar (Wong et al., 2013)

2.1.4 Bond stress-slip modeling

The cyclic response of beam-column joint subassemblies is significantly affected by the bond stress-slip behavior of the beam longitudinal reinforcement (Azimi et al. 2015; Beydokhty and Shariatmadar 2016). In order to model the imperfect bonding condition between the reinforcement and concrete, the “Link” elements were used (as interface elements). For both of the steel and SESMA reinforcing bars, the reversed cyclic model developed by Elgehausen et al. (1982) was assigned to the interface (Link) elements for simulating the hysteretic behavior of the bond material. Since the hysteretic characteristic parameters of the bond stress-slip behavior mainly depend on the concrete strength and the surface roughness of the rebars (Verderame et al. 2009), the characteristic parameters associated with the envelope curve of the hysteretic (cyclic) model were determined by two different methods for deformed steel rebars and SESMA bars (sand coated or smooth). For the steel reinforcement, “Embedded Deformed Rebars” option available in the software VecTor2 was utilized, and appropriate values for the confinement pressure factor  $\beta$  and concrete cover were inputted. More detailed information concerning this factor ( $\beta$ ) can be found in the corresponding ref. (Wong et al. 2013). For SMA reinforcing bars, “Embedded Bars-Custom Input” option was chosen. In this method, the bond stress-slip relationship is defined by a series of reference bond stresses and slips (three reference points). These reference points were determined according to the bond stress-slip relationship of SESMA bars, proposed by Billah and Alam (2016). This relationship and the reference points used (for sand-coated SESMA bars) are shown in Figure 3. The average maximum bond strength in this relationship was calculated according to the following equations.

$$\tau_{max} = k_r \times \left( 0.9 - 0.004d_b - 0.0025l_d + 0.015 \frac{c}{d_b} \right) \times \sqrt{f'_c} \tag{1}$$

$$k_r = 0.17\alpha^2 - 1.92\alpha + 6.5 \tag{2}$$

$$\alpha = (2 / \text{sand size in mm}) \tag{3}$$

where  $\tau_{max}$  is the average maximum bond strength in MPa,  $f'_c$  is the concrete compressive strength in MPa,  $c$  is the concrete cover in mm,  $d_b$  is the bar diameter in mm,  $l_d$  is the embedment length in mm,  $\alpha$  is the sand size coefficient, and  $k_r$  is the surface roughness factor which is 1 for smooth rebar. For sand coated rebars,  $k_r$  can be calculated by using Eq.2.

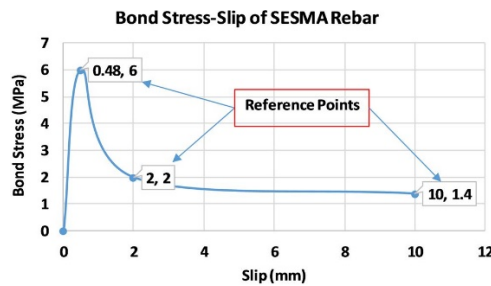


Figure 3: The user-defined bond stress-slip envelope curve for sand-coated SESMA rebars

### 2.2 Finite element modeling of the cyclic behavior of SESMA bars at the material level using VecTor2

In the present study, the superelastic behavior of SESMA bars was modeled by a modified SMA model. This model consists of parallel use of a linear elastic approach added by the SMA2 model available in VecTor2 (see Figure 4). To investigate the accuracy of the adopted approach at the material level, the cyclic stress-strain behavior of four different types of SESMA samples (bars) tested by other authors (see Table 2) was numerically reproduced. Table 2 summarizes the mechanical properties of these SESMA bars, extracted from experimental tests. The results of numerical modeling are shown in Figure 5 and Figure 6.

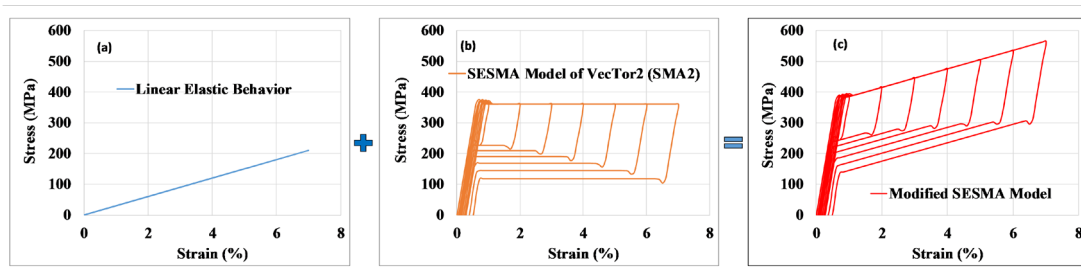
Figure 5a illustrates the cyclic stress-strain behavior of Ni55Ti45 specimens (bars) tested by Youssef et al. (2008). At first, the cyclic response of these SESMA bars was simulated by “SMA2” behavior model adopted by VecTor2 and is illustrated in Figure 5b. The SMA2 model was not of sufficient accuracy in reproducing the unloading path and capturing lower plateau stress at the material level. Indeed, in this model, the unloading path (from Martensite to Austenite phase) is modeled by a constant function instead of a linear function. Therefore, in the current study, the parallel use of the linear elastic behavior and “SMA2” model was employed to address this shortcoming. The stress-strain curve of Ni55Ti45 which was modeled by “Modified SMA2” (the parallel behavior model) is shown in Figure 5c.

To show the robustness of the adopted approach (i.e., the modification of SMA2 model), the numerical prediction of the stress-strain behavior of three other SESMA bars obtained by using the “modified SMA2” model is presented in Figure 6. The comparison of the experimental and numerical results shows that the adopted approach has more reasonable results. Therefore, this approach was used for the FE modeling of the cyclic response of hybrid SESMA-steel beam-column joints at the structural level.

**Table 2:** Mechanical characteristic of four SESMA Samples used in the numerical investigation

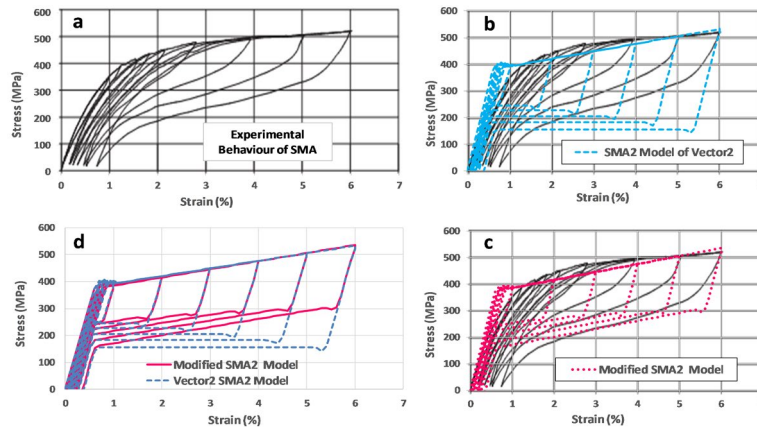
SESMA	FeMnAlNi	FeNCATB	Ni56-Ti44	Ni55-Ti45
$\sigma_S^{AM}$ (Mpa) <sup>1</sup>	320	750	415	401
$\sigma_f^{AM}$ (Mpa) <sup>2</sup>	442.5	1200	540	510
$\sigma_S^{MA}$ (Mpa) <sup>3</sup>	210.8	300	170	370
$\sigma_f^{MA}$ (Mpa) <sup>4</sup>	122	200	120	130
E(GPa) <sup>5</sup>	98.4	46.9	60	62.5
$\epsilon_l$ (%) <sup>6</sup>	6.13	13.5	8	6
$R_{eff}$	Omori et al. (2011)	Tanaka et al. (2010)	Abdulridha et al. (2013)	Youssef et al. (2008)

<sup>1</sup>  $\sigma_S^{AM}$  : Austenite-to-Martensite starting stress, <sup>2</sup>  $\sigma_f^{AM}$  : Austenite-to-Martensite finishing stress, <sup>3</sup>  $\sigma_S^{MA}$  : Martensite-to-Austenite starting stress, <sup>4</sup>  $\sigma_f^{MA}$  : Martensite-to-Austenite finishing stress, <sup>5</sup> E: Young’s modulus, <sup>6</sup>  $\epsilon_l$  : Superelastic strain.

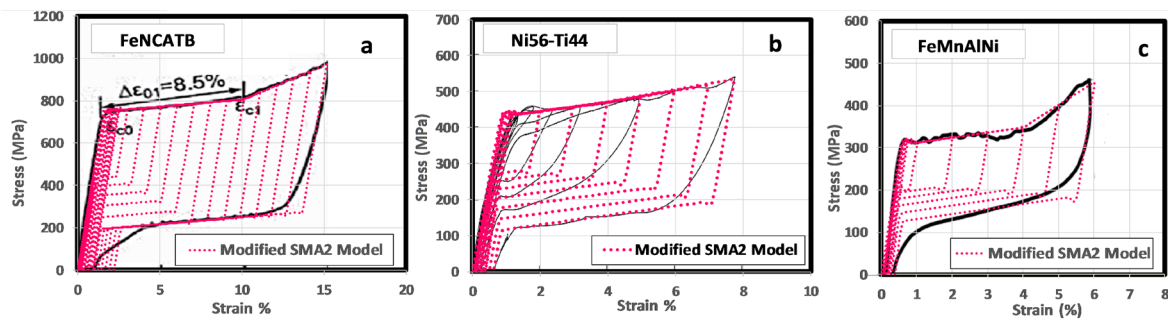


$$\epsilon_a = \epsilon_b = \epsilon_c, \sigma_a + \sigma_b = \sigma_c \rightarrow \epsilon * (E_a + E_b) = \epsilon * E_c \rightarrow E_a + E_b = E_c$$

**Figure 4:** The method used for modifying SESMA model of VecTor2



**Figure 5:** Experimental and numerical stress-strain curves of Ni55-Ti45: (a) experimental (Youssef et al. 2008), (b) numerical modeling by using the “SMA2” material model of Vec2, (c) numerical modeling by using the “Modified SMA2” material model, and (d) the comparison of cases b and c.



**Figure 6:** Comparison between experimental (see Table 2) and numerical stress-strain curves of three different types of SESMAs, (a) FeNCATB SESMA, (b) Ni56-Ti44 SESMA, (c) FeMnAlNi SESMA

### 2.3 Finite element modeling of RC beam-column joints JBC1 and JBC2 (structural level)

In this part of the research, the cyclic response of two RC beam-column joint assemblages tested by Youssef et al. (2008) was numerically reproduced. These RC BCJs (JBC1 and JBC2) were tested under reversed cyclic loading applied at the beam tip. Also, a constant axial force of 350 kN was applied at the top cross-sectional area of the column representing gravity loads. The lateral load history consisted of two phases. It initiated with a load-controlled phase followed by a displacement-controlled phase. After yielding, displacement-controlled loading was applied in the form of incremental multiplies of the yield displacement. For each drift ratio, the test specimen was subjected to two complete cycles. The loading was terminated at a story drift of 7.9%, which was more than double the collapse limit. The configuration and reinforcing details of JBC1 and JBC2 are illustrated in Figure 7. Detailed information about these tests can be found in the corresponding reference (Youssef et al. 2008). In the numerical models, the lateral reversed cyclic loading was applied at the nodes of the beam tip at a distance of 1630 mm from the column; and constant axial force was applied on the top nodes of the column. The location of the lateral and axial loads are shown in Figure 7. It should be noted that no significant difference was found between the results when applying the loads on either a single node or a number of nodes. However, distributing the load on a number of nodes can reduce stress concentration problems.

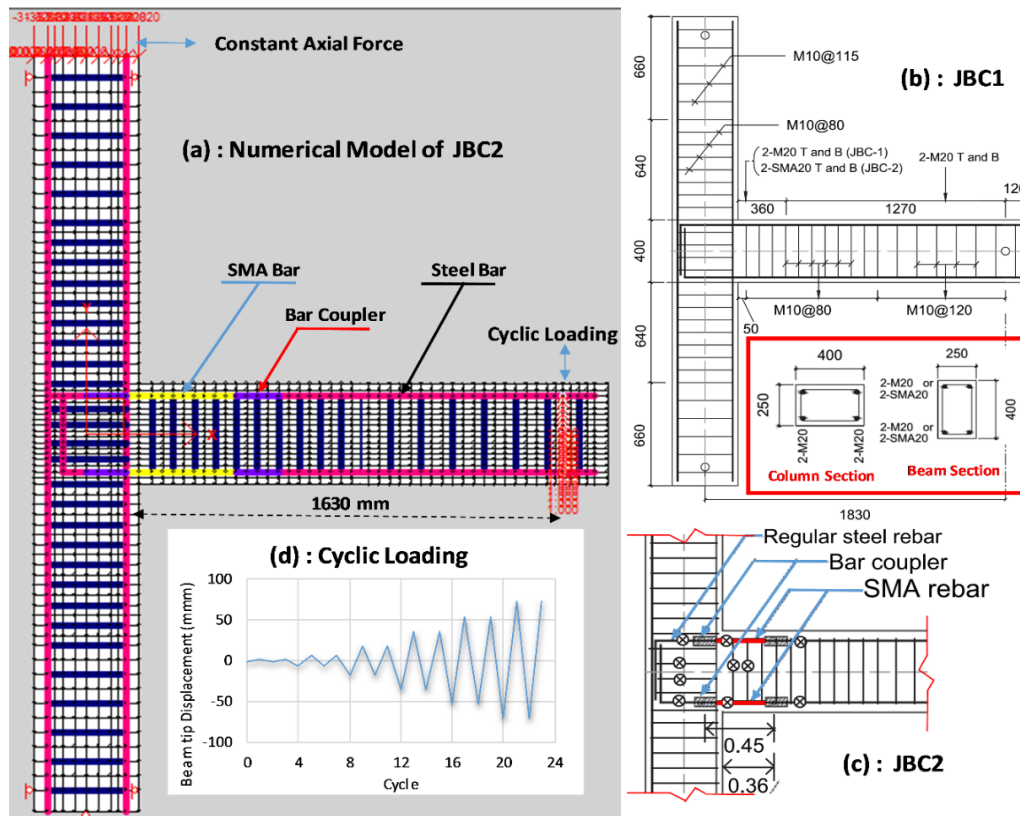


Figure 7: Typical FE model of JBC2 in VecTor2(a), details of specimens JBC1(b) and JBC2(c) (Youssef et al. 2008), and cyclic loading protocol(d)

JBC1 and JBC2 are similar in terms of configuration and reinforcing details except for the plastic hinge regions. JBC1 is a conventional beam-column joint with steel rebars at all regions of the specimen, whereas JBC2 is a hybrid beam-column joint with SESMA rebars at the plastic hinge zone of the beam, and steel rebars at other places of the specimen. Screw-lock mechanical couplers have been used to couple the 20 mm diameter SMA to the 20M deformed steel bars at the plastic hinge region.

The comparison between the test results and numerical predictions of JBC1 and JBC2 are shown in Figure 8 and Figure 9 and are summarized in Tables 3 and 4. As can be seen, the experimental results and numerical predictions are in a good agreement in terms of stiffness, yield and ultimate strengths, hysteresis energy dissipation, cracking pattern, failure mode, ductility, and displacement recovery. It can be concluded that the "Modified SMA2" model can satisfactorily simulate the cyclic behavior of SESMA at both the material and structural levels.

#### 2.4 Finite element modeling of RC beam-column joints SSB-S-1, DSB-S-1, SSB-P-1, and DSB-P-1

In order to intensify the accuracy of the adopted modeling approach, the cyclic behavior of four other RC BCJs tested by Oudah (2015) was also reproduced. This set of tests include two conventional (with only steel rebars) and two hybrid SMA-steel BCJs, designated SSB-S-1, DSB-S-1, SSB-P-1, and DSB-P-1, respectively. Notice that "SSB" and "DSB" are acronyms for "Single Slotted Beam" (see Figure 10a and c) and "Double Slotted Beam" (see Figure 10b and d) respectively. Implementation detail, loading history, and material specifications have been considered the same as those of the tested specimens and can be found in the corresponding reference (Oudah 2015). The reinforcement details of the specimens and their typical numerical models are illustrated in Figure 10 (in which the applied loads are shown schematically). The comparison between the numerical predictions and experimental results in terms of load-displacement relationship and hysteresis energy dissipation is presented in Figure 11 and Figure 12, respectively. Furthermore, the quantitative comparison of numerical to experimental results is also given in Tables 3 and 4. As can be seen, numerical results are in compliance with experimental findings.



### 3 NUMERICAL PARAMETRIC STUDY

A numerical parametric study was conducted in order to study the seismic behavior of SMA. The numerical model calibrated based on the test results of specimen JBC2 was used as a basic model. The parameters to be varied are as follows:

- Mechanical properties of SESMA
- Concrete compressive strength
- Beam reinforcement ratio

#### 3.1 Influence of mechanical properties of SESMA

In the following, the effects of mechanical properties of SESMA rebars (see Figure 13) on the seismic behavior of hybrid SMA-steel beam-column subassemblies were investigated. The parameters K1 (austenite modulus), K2 (post-yield stiffness), K3 (an alternative for studying the effect of the lower plateau stress factor ( $\beta$ )), and Fy (austenite yield strength) were changed and the results may be summarized as follows:

The effect of the austenite yield strength (Fy) on the seismic behavior of JBC2 was studied by changing the value of yield strength while the values of K1, K2, and K3 remained constant. As illustrated in Figure 14, with increasing the yield strength from 300 to 500 MPa, the load-bearing capacity increased by approximately 40% while energy dissipation decreased by approximately 16%. Fy can affect the load-displacement relationship at displacements exceeding 20 mm.

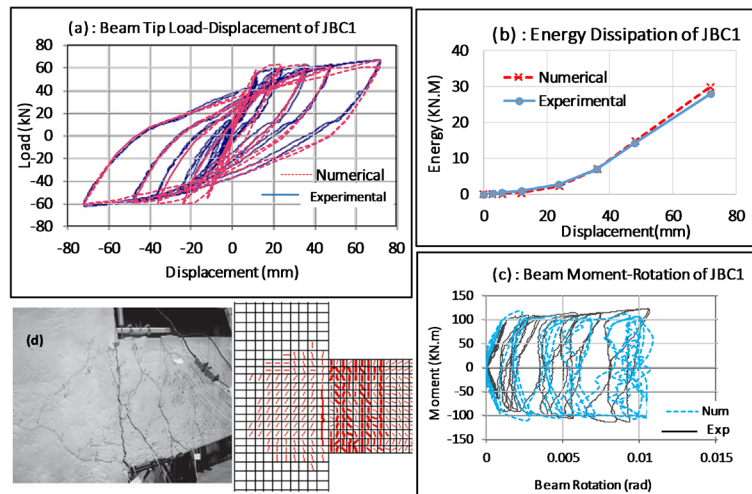


Figure 8: Comparison between the numerical predictions and experimental results (Youssef et al. 2008) of JBC1. (a) beam tip load-displacement, (b) energy dissipation, (c) beam moment-rotation, (d) cracking pattern

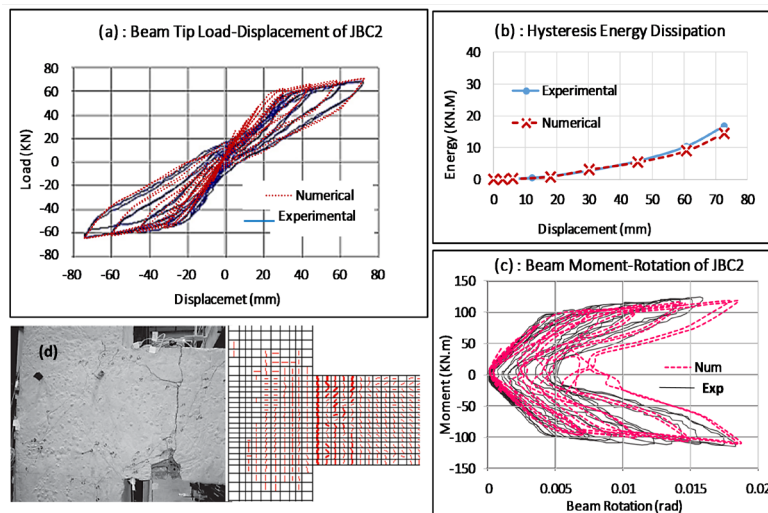


Figure 9: Comparison between the numerical predictions and experimental results (Youssef et al. 2008) of JBC2. (a) beam tip load-displacement, (b) energy dissipation, (c) beam moment-rotation, (d) cracking pattern

To explore the effect of  $K_1$  (austenite modulus) on the seismic behavior of JBC2 (see Figure 15),  $F_y$ ,  $K_2$ , and  $K_3$  were assumed constant and  $K_1$  was altered between 30GPa to 70GPa. The initial stiffness and energy dissipation of JBC2 increased with increasing  $K_1$ . The Change in  $K_1$  had a negligible effect on the load bearing capacity.  $K_1$  altered load-displacement curves only in a displacement range of 0 to 40 mm.

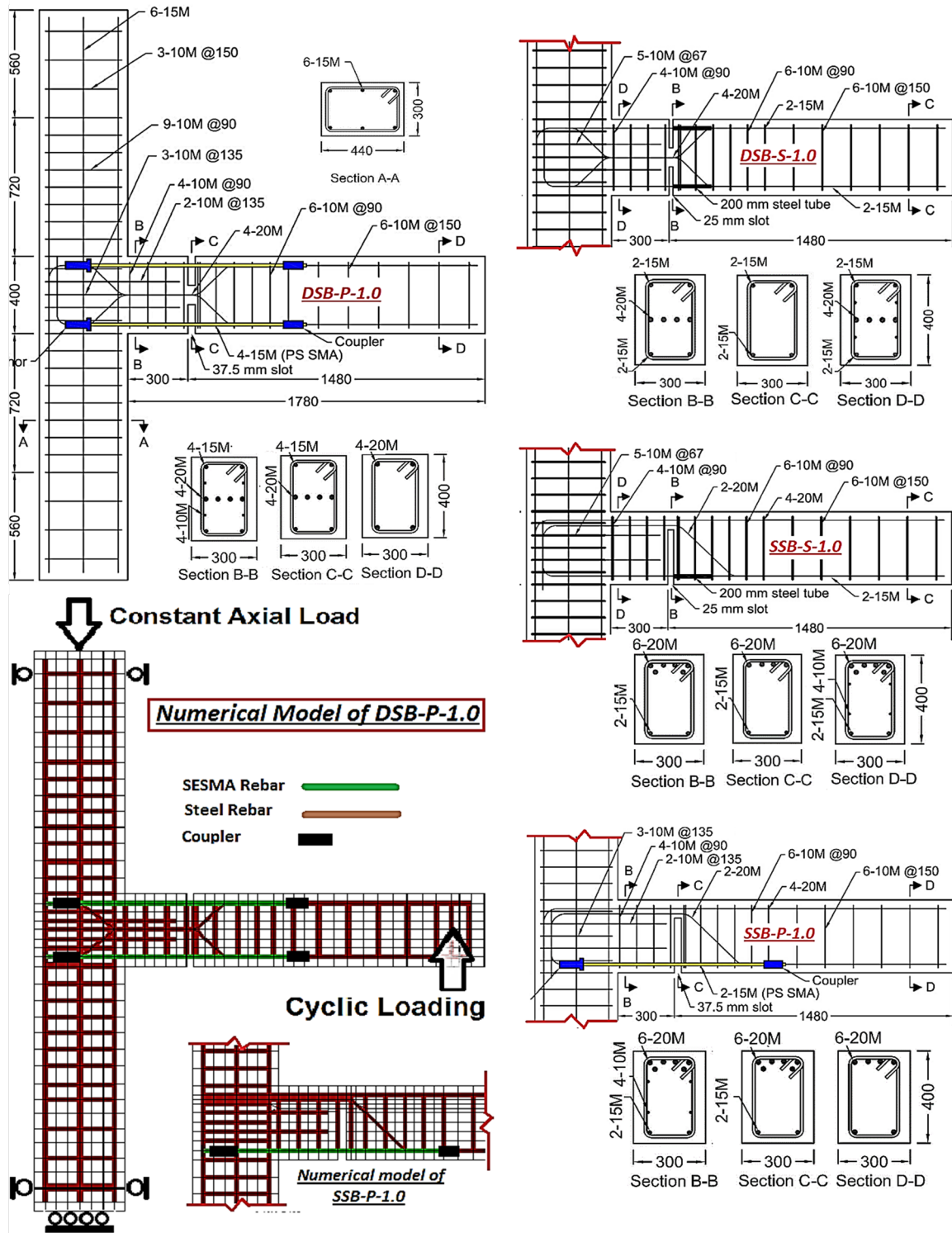


Figure 10: Details of test samples: DSB-P-1.0 (a), DSB-S-1.0(b), SSB-S-1.0(c), and SSB-P-1.0 (d) (Oudah 2015) and typical finite element modeling of DSB-P-1.0 and SSB-P-1.0

Table 3: Comparison of predicted (Vec2) and measured (EXP) responses for positive loading direction

Specimen (Type of Reinforcement)	Result	Yielding Strength		Maximum Strength		Ultimate strength		Energy (kN.m)	$\frac{E_{Vec2}}{E_{EXP}}$	$\frac{\mu_{Vec2}}{\mu_{EXP}}$
		Py (kN)	$\delta_y$ (mm)	Pmax (kN)	$\delta_{max}$ (mm)	Pu (kN)	$\delta_u$ (mm)			
JBC1 (Steel)	EXP	-	-	66.5	72	66.5	72	26.7	1.12	-
	Vec2	55.6	12	66.2	72	66.2	72	29.8		
JBC2 (SMA and Steel)	EXP	32.7	18	68.1	72	68.1	72	16.3	0.9	1.02
	Vec2	47	18.3	71	72	71	72	14.9		
SSB-S-1.0 (Steel)	EXP	40	11	67	55	67	55	59	1.13	0.91
	Vec2	44	10	66.7	55	66.7	55	67		
DSB-S-1.0 (Steel)	EXP	41	14	68	85	68	85	171.7	0.97	0.86
	Vec2	38.2	12	64.4	70	58.5	85	166		
SSB-P-1.0 (SMA and Steel)	EXP	44	32	44	75	44	75	26	0.81	1.09
	Vec2	43	35	45.8	75	45.8	75	21		
DSB-P-1.0 (SMA and Steel)	EXP	44	39	56	120	56	120	51.2	0.79	1•
	Vec2	44.5	39	56.2	120	56.2	120	40.4		

Table 4: Comparison of predicted (Vec2) and measured (EXP) responses for negative loading direction

Specimen (Type of Reinforcement)	Result	Yielding Strength		Maximum Strength		Ultimate strength		Energy (kN.m)	$\frac{E_{Vec2}}{E_{EXP}}$	$\frac{\mu_{Vec2}}{\mu_{EXP}}$
		Py (kN)	$\delta_y$ (mm)	Pmax (kN)	$\delta_{max}$ (mm)	Pu (kN)	$\delta_u$ (mm)			
JBC1 (Steel)	EXP	51.3	12	61.5	72	61.5	72	26.7	1.12	1.067
	Vec2	56.2	12.8	61.2	72	61.2	72	29.8		
JBC2 (SMA and Steel)	EXP	-	-	64	72	64	72	16.3	0.9	-
	Vec2	42.7	18	66.2	72	66.2	72	14.9		
SSB-S-1.0 (Steel)	EXP	34	11	71	55	71	55	59	1.13	0.91
	Vec2	44.4	10	68.4	55	68.4	55	67		
DSB-S-1.0 (Steel)	EXP	36	14	57	85	57	85	171.7	0.97	1.07
	Vec2	38	15	56.4	75	53.4	85	166		
SSB-P-1.0 (SMA and Steel)	EXP	47	32	68	75	68	75	26	0.81	0.94
	Vec2	45	30	65.1	67	64.2	75	21		
DSB-P-1.0 (SMA and Steel)	EXP	30	39	39	120	39	120	51.2	0.79	1
	Vec2	38	39	49	120	49	120	40.4		

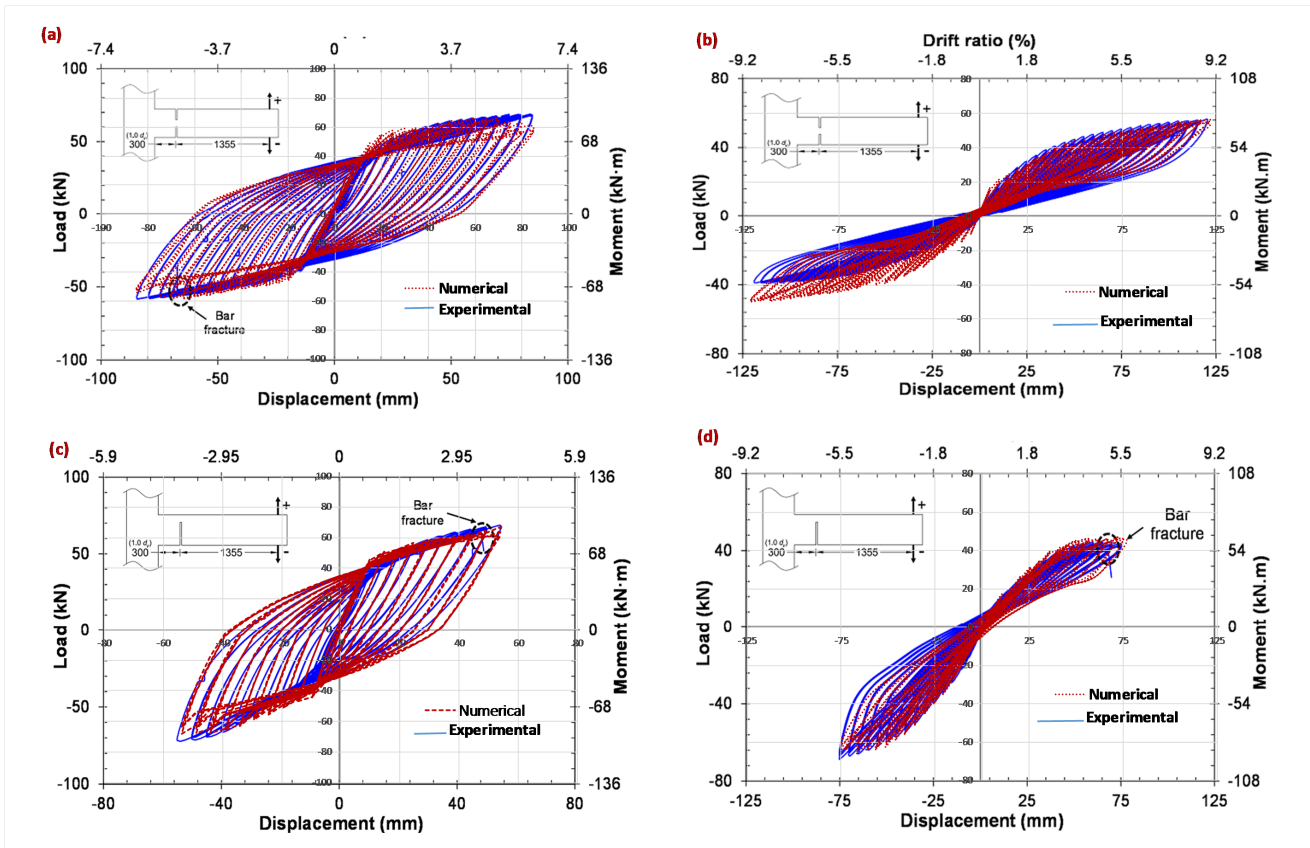


Figure 11: Comparison between the numerical and experimental (Oudah 2015) results of beam tip load-displacement relationship. (a) DSB\_S\_1.0, (b) DSB\_P\_1.0, (c) SSB\_S\_1.0 and (d) SSB\_P\_1.0.

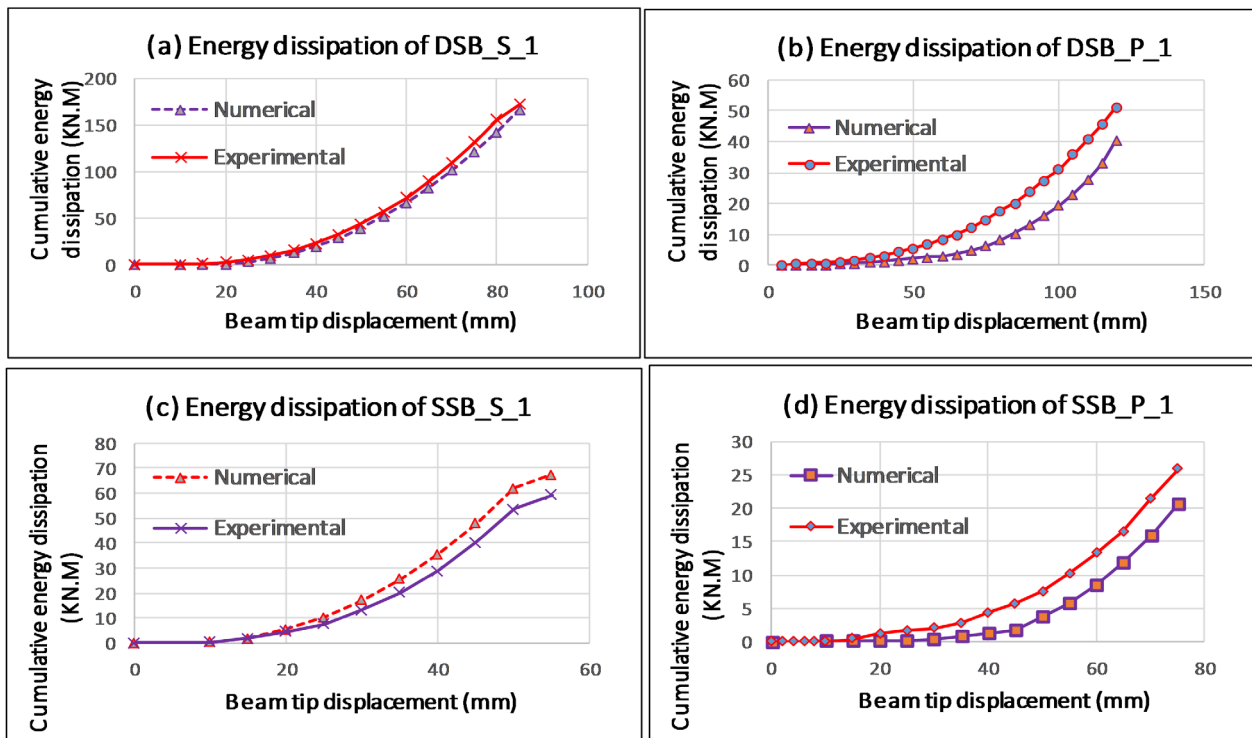


Figure 12: Comparison between the numerical and experimental (Oudah 2015) results of cumulative hysteresis energy dissipation. (a) DSB\_S\_1.0, (b) DSB\_P\_1.0, (c) SSB\_S\_1.0 and (d) SSB\_P\_1.0.

Figure 16 illustrates the effect of  $K_2$  (post-yield stiffness) on the seismic behavior of JBC2, while  $K_1$ ,  $K_3$ , and  $F_y$  were constant.  $K_2$  affected the load-displacement curve at any displacement greater than 20 mm. The load-bearing capacity of JBC2 increased by approximately 53% as the  $K_2$  increased from 0 to 8 GPa. While the energy dissipation of JBC2 decreased with increasing  $K_2$ .

Vector2 has no option for controlling the parameters affecting the unloading path of the stress-strain curve of SESMA. Therefore,  $K_3$  was considered as an alternative for studying the effect of the lower plateau stress factor ( $\beta$ ). The effect of changing  $K_3$  on the cyclic response of JBC2 was investigated by using the proposed technique (see Sec. 2.2) and the results are shown in Figure 17. For a better understanding, Figure 17b shows two end half-cycles of the load-displacement relationship up to 125 mm. As can be seen in Figure 17b, increasing  $K_3$  altered only the unloading path and did not change the envelope curves. Up to 75mm displacement, the cumulative energy dissipation decreased by approximately 5% as  $K_3$  increased from 0 to 3 GPa.

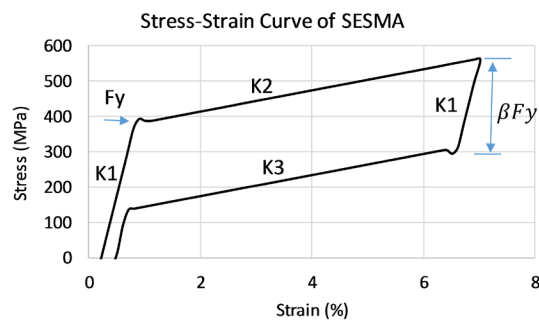


Figure 13: Schematic stress-strain relationship of SESMA

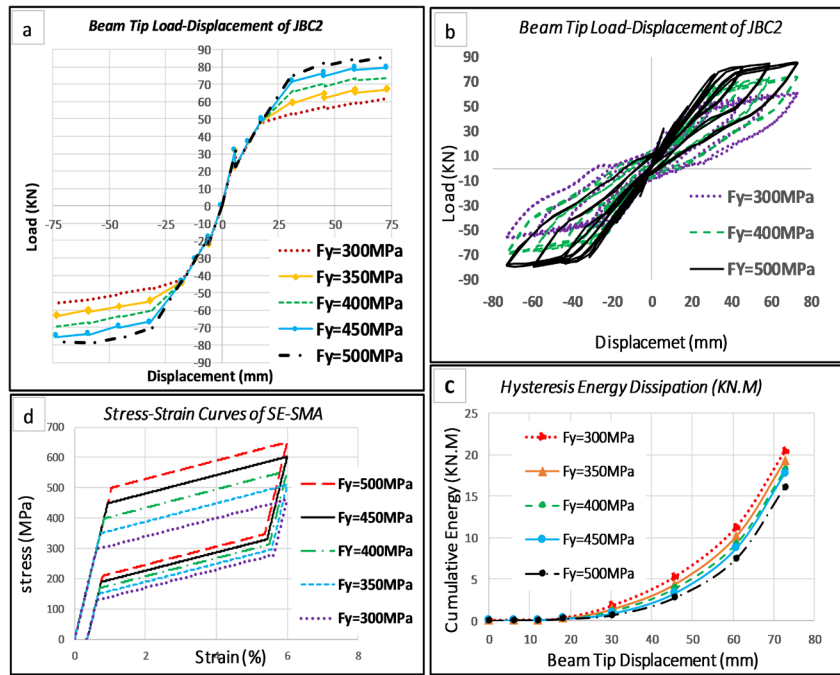
### 3.2 Influence of concrete grade

The influence of the concrete grade (concrete compressive strength) ranging from C30 to C70 on the seismic response of SMA-steel BCJs is illustrated in Figure 18. The change in the concrete grade, experimentally achieved as a result of changing the concrete mixture, can affect other properties of the concrete. In the analysis process by VecTor2, some of these properties can be estimated and updated by the software as a function of the concrete compressive strength if the default value "0" is chosen by the user. In the present numerical study, due to the absence of experimental results, the default value was selected for the initial tangent modulus of elasticity, uniaxial tensile strength, strain at the compressive strength, and Poisson's ratio. Furthermore, the maximum bond strength between SMA bars and concrete was changed according to Equation 1 by the user. The bond strength between steel reinforcing bars and concrete was calculated and updated by the software.

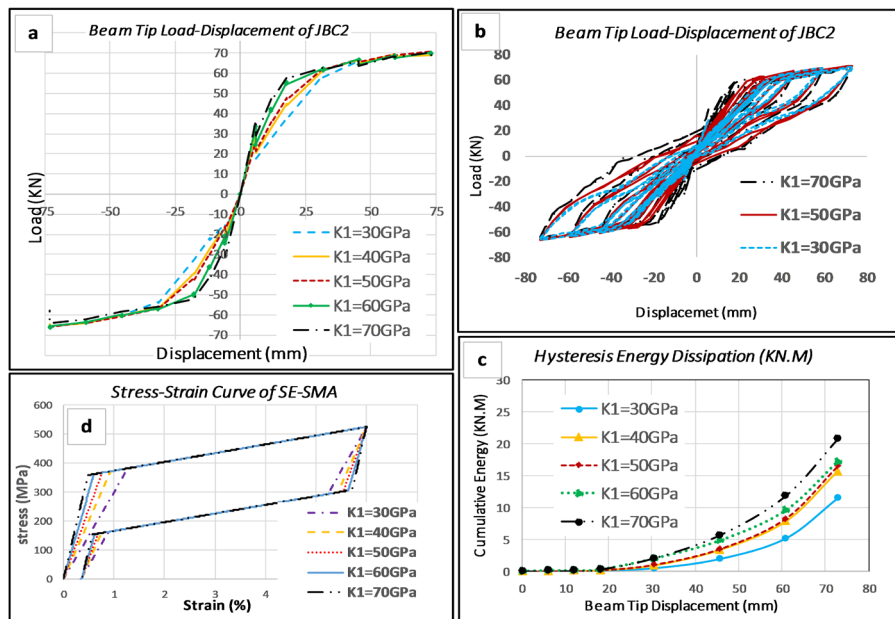
As presented in Figure 18, the load bearing capacity and hysteresis energy dissipation of JBC2 respectively increased by 16% and 29% as a result of enhancing the concrete grade. It should be mentioned that these variations in the bearing capacity and energy dissipation were due to changing both of the concrete compressive strength and the other associated properties.

### 3.3 Influence of beam reinforcement ratio

Figure 19a shows cyclic and envelope curves of load-displacement responses for different beam reinforcement ratios. As can be seen in Figure 19, an increase in the beam reinforcement ratio led to an increase in the stiffness, strength and energy dissipation of JBC2. The ultimate strength and energy dissipation increased by approximately 75% and 35% as the beam reinforcement ratio changed from 1% to 2%, respectively.



**Figure 14:** Effect of the Austenite yield strength ( $F_y$ ) of SESMA rebars on seismic behavior of JBC2: (a) envelopes of the load-displacement curves, (b) hysteresis curves of load-displacement, (c) cumulative energy dissipation, (d) stress-strain curves of SESMA for different values of  $F_y$ .



**Figure 15:** Effect of the Austenite modulus ( $K_1$ ) of SESMA on seismic behavior of JBC2: (a) envelopes of the load-displacement curves, (b) hysteresis curves of load-displacement, (c) cumulative energy dissipation, (d) stress-strain curves of SESMA for different values of  $K_1$ .

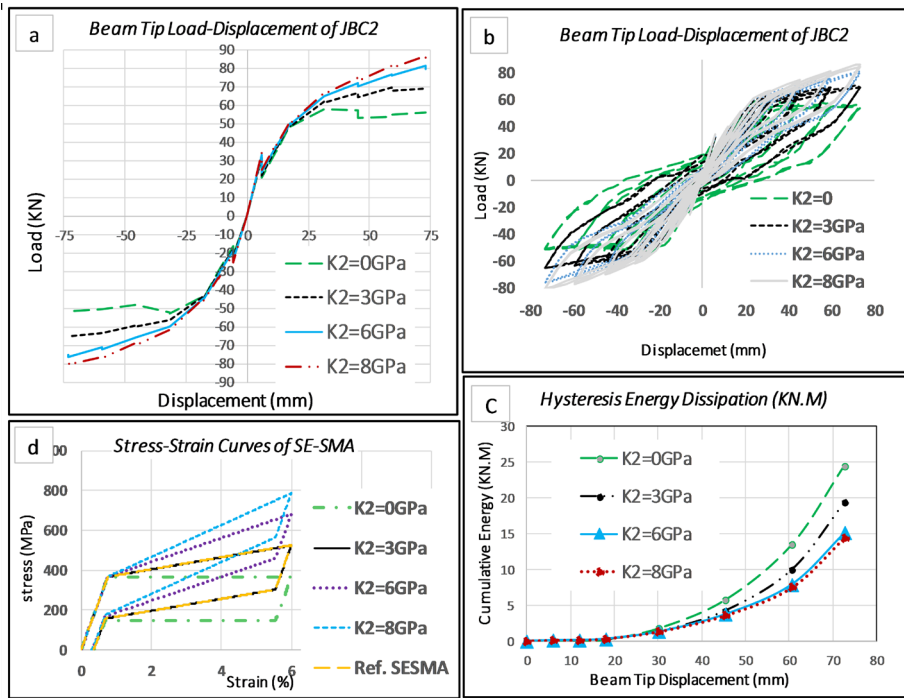


Figure 16: Effect of the post-yield stiffness ( $K_2$ ) of SESMA on seismic behavior of JBC2: (a) envelopes of the load-displacement curves, (b) hysteresis curves of load-displacement, (c) cumulative energy dissipation, (d) stress-strain curves of SESMA for different values of  $K_2$ .

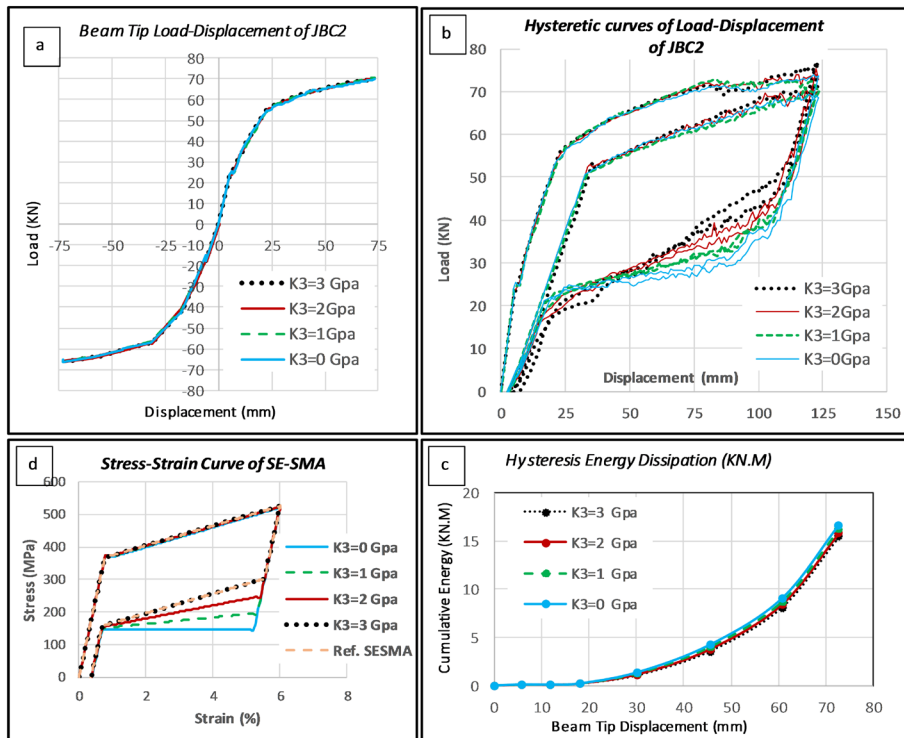


Figure 17: Effect of the lower plateau stress factor ( $K_3$ ) of SESMA on seismic behavior of JBC2: (a) envelopes of the load-displacement curves, (b) two half cycles of the hysteretic load-displacement curves, (c) cumulative energy dissipation, (d) stress-strain curves of SESMA for different values of  $K_3$ .

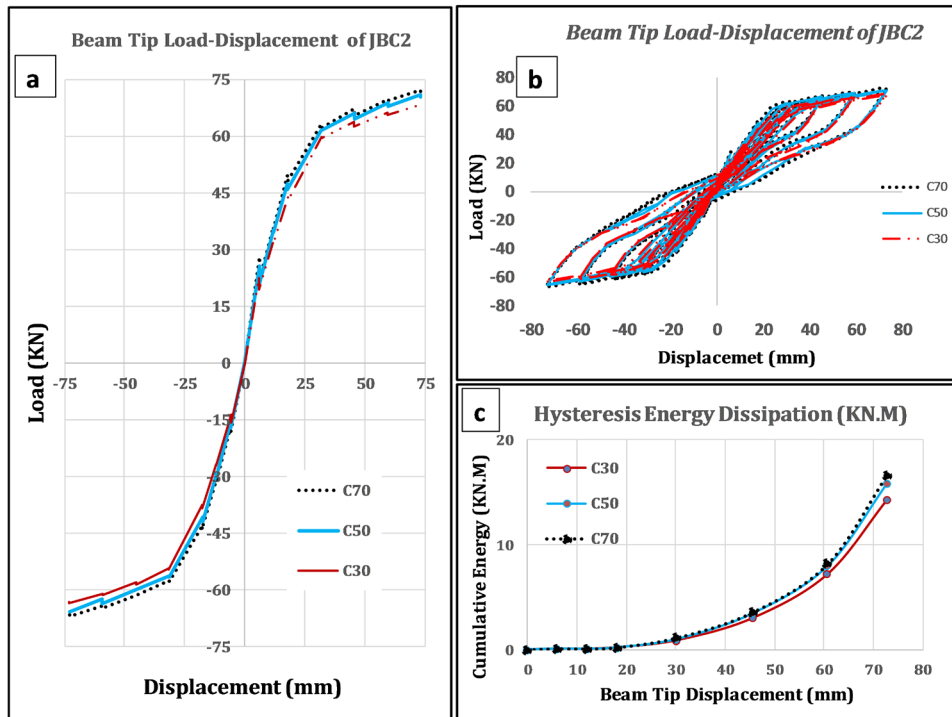


Figure 18: Effect of the concrete strength on seismic behavior of JBC2: (a) envelopes of the load-displacement curves, (b) hysteresis curves of load-displacement, (c) cumulative energy dissipation.

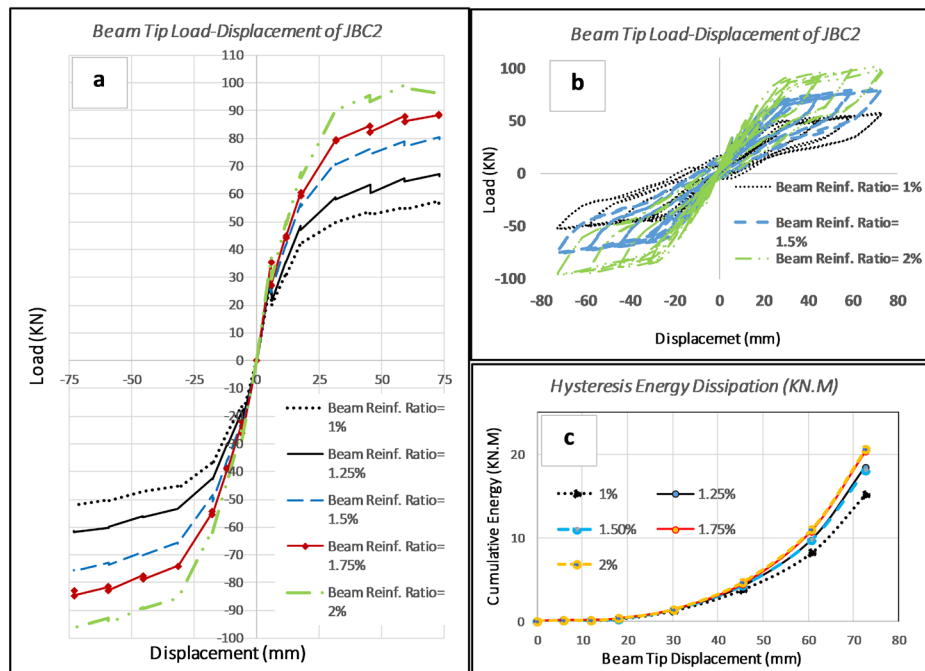


Figure 19: Effect of the beam reinforcement ratio on seismic behavior of JBC2: (a) an envelope of the load-displacement curves, (b) hysteresis curves of load-displacement, (c) cumulative energy dissipation.

#### 4 INTRODUCING A COST-EFFECTIVE HYBRID BCI WITH ENHANCED SEISMIC PERFORMANCE

Although there is considerable potential for utilizing NiTi bars as reinforcement in concrete structures, the high cost of this material restricts its application in real structures significantly (Youssef et al. 2008). However, according to the best knowledge of us, so far, all the previous experimental and numerical studies associated with SMA-steel RC beam-column joints (which were introduced in section 1 and some of them were numerically modeled in subsections 2.3 and 2.4) have focused on replacing flexural steel bars with NiTi in the plastic hinge developed near the column face.



To reduce the amount of required SESMA and to improve the seismic performance of hybrid SMA-steel RC BCJs, two ideas were proposed and numerically investigated. First, utilizing a different type of SESMA bars with lower fabrication cost and better seismic performance (such as FeNCATB bars) in the plastic hinge zone. Second, employing an improved detailing for relocating the plastic hinge to the other region of the beam with lower moment demand, resulting in decreasing the number and size of the SESMA bars required in the plastic hinge.

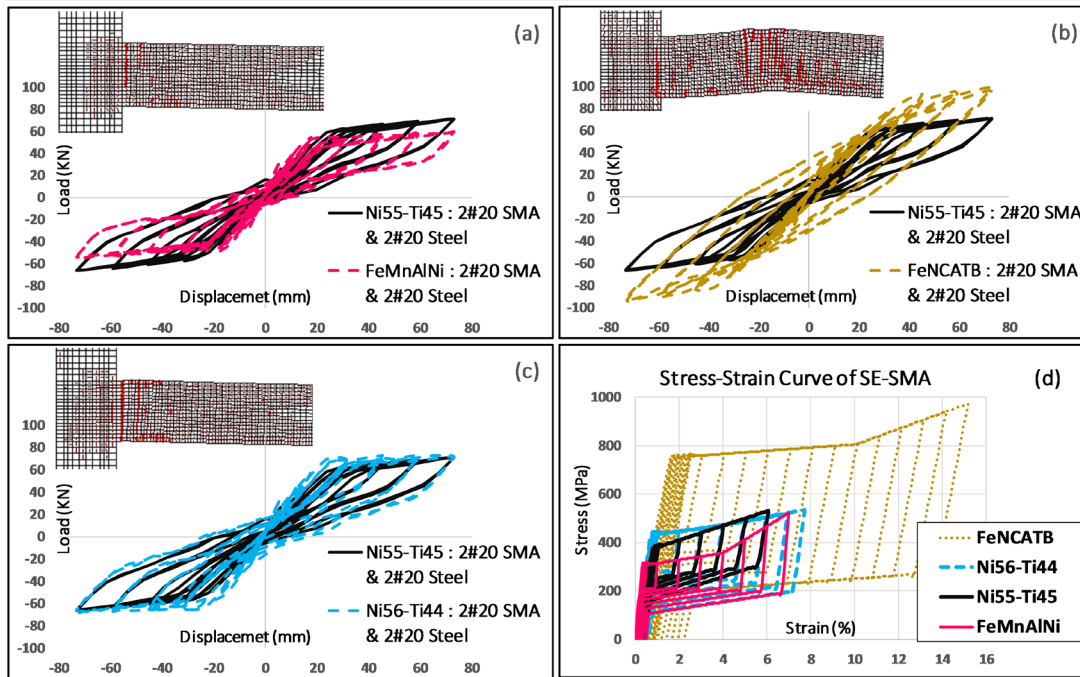
Initially, the influence of the type of SESMA on seismic performance of hybrid BCJs was investigated. To this end, the mechanical properties of SESMA bars in the plastic hinge region of the numerical model of JBC2 were replaced with those of three different types of SESMAs extracted from previous studies (see Table 2). The aim was to find a suitable alternative for Ni55Ti45 bars, leading to decreasing the number and size of the required SESMA bars (in the plastic hinge) and enhancing the seismic performance of hybrid SMA-steel BCJs. Figure 20 and Figure 21 compare the numerical responses of JBC2 with different types of SESMAs in terms of various criteria such as cracking pattern, hysteresis energy dissipation, yielding and ultimate strengths, and residual deformation. As can be seen, the greater yield and ultimate stresses of SESMA bars resulted in a greater load-bearing capacity of the SMA-steel BCJ, and increasing Young's modulus of SESMA increased the initial stiffness of the hybrid BCJ.

Among the SESMAs available in Table 2, FeNCATB (Fe-Ni-Co-Al-Ta-B) seems to possess great potential for utilizing as reinforcing bar in RC structures. This alloy is a ferrous polycrystalline SESMA which has a high superelastic range of over 13% at room temperature (Tanaka et al. 2010). This Fe-based alloy has greater strength and energy dissipation capacity than NiTi which is commercially available. However, as can be seen in Figure 20b and Figure 22a, replacing 2#20 mm Ni55Ti45 bars with 2#20 mm FeNCATB bars did not lead to a favorable recentering that can be attributed to the greater yield and ultimate strengths of FeNCATB in comparison with NiTi. To achieve suitable recentering, the number and size of FeNCATB bars (in the plastic hinge region) and steel reinforcement (in other places of the BCJ) should be determined in such a way that the yielding occurs in FeNCATB bars, while steel rebars remain in the elastic range. In the following, three different scenarios that can lead to yielding of FeNCATB bars were numerically investigated and their results are illustrated in Figure 22. These scenarios can be summarized as follows:

1. The Use of 2#18 mm FeNCATB bars at the plastic hinge region and 2#20 mm steel bars at the other regions of the SMA-steel BCJ model (Figure 22b).
2. The Use of 2#16 mm FeNCATB bars at the plastic hinge region and 2#20 mm steel bars at the other regions of the SMA-steel BCJ model (Figure 22c).
3. The Use of 2#20 mm FeNCATB bars at the plastic hinge region and 2#24 mm steel bars at the other regions of the SMA-steel BCJ model (Figure 22d).

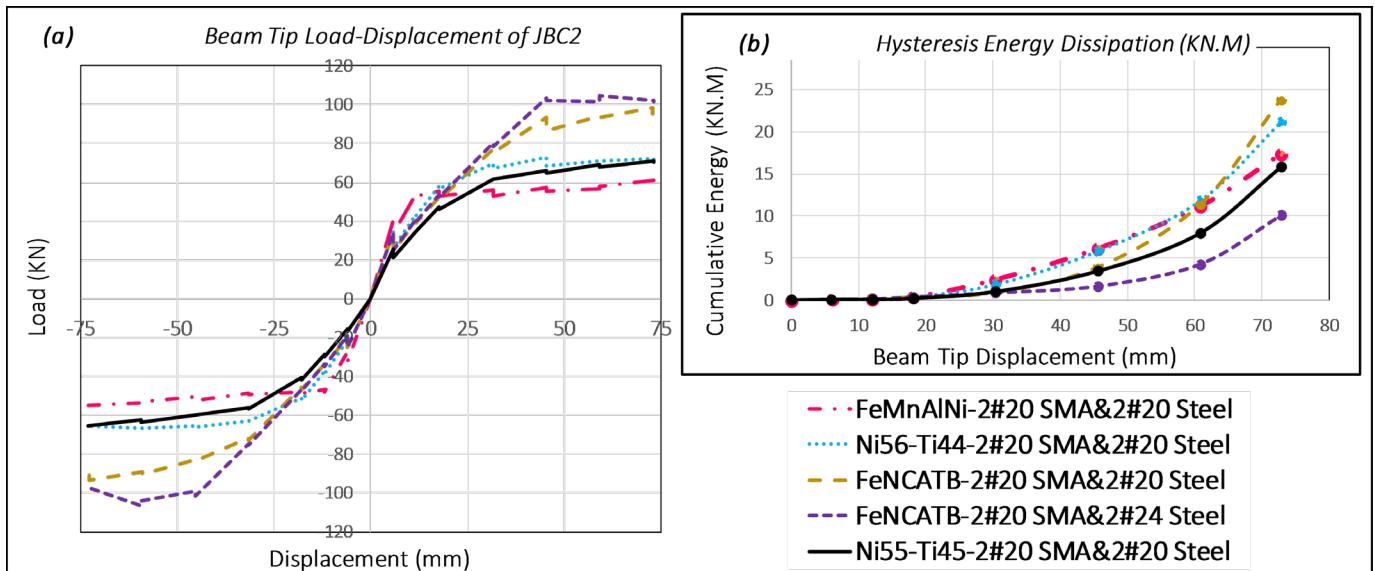
As shown in Figure 22b, reducing the size of FeNCATB rebars to 2#18 mm (the first scenario) can improve the recentering capability to some extent. In this case, because of the yielding of both the steel rebars and SMA bars, residual deformation was not completely eliminated. The use of 2#16 mm FeNCATB bars, as the second scenario, (Figure 22c) led to good recentering because the plastic hinge was formed at the region reinforced with FeNCATB bars, while steel reinforcement remained in the elastic range. Because of the higher mechanical properties of FeNCATB in comparison with NiTi, the load-bearing capacity of JBC2 with 2#16 mm FeNCATB bars was comparable with that of JBC2 with 2#20 mm Ni55Ti45 bars (see Figure 22c). Furthermore, due to the lower Young's modulus of FeNCATB, as compared to Ni55-Ti45, the initial stiffness of JBC2-FeNCATB was lower than that of JBC2-Ni55Ti45. The result of third scenario (i.e. replacing 2#20 mm Ni55Ti45 bars by 2#20 mm FeNCATB bars at the plastic hinge region and increasing the steel bars diameter to 24 mm at the other regions of the beam) is shown in Figure 22d. In this case, yielding only occurs in FeNCATB bars, and due to the larger diameter of the SESMA bars used as compared to the other cases (see Figure 22b and c), a better recentering and higher strength were observed.

According to the stress-strain curve of FeNCATB, the optimal use of this alloy occurs in large strains. To investigate this issue, the beam tip displacement of JBC2-FeNCATB was increased up to 125 mm. Numerical results are illustrated in Figure 23 indicating that besides greater load-bearing capacity, JBC2-FeNCATB showed a comparable energy dissipation than JBC2-Ni55Ti45 in large displacements. Such large displacements rarely happen in real structures. Therefore, as an effective alternative, plastic hinge relocation technique (PHRT) may be proposed to optimize the use of these alloys. Applying this technique can lead to an increase in the curvature demand and strain of the rebars at the relocated plastic hinge, for a given drift ratio. Furthermore, PHRT can decrease the amount of required SESMA, because by using this technique plastic hinge is relocated to a region with lower moment demand. Also, the use of this technique can increase the stiffness, strength and energy dissipation of hybrid BCJs through employing additional steel rebars instead of high-cost SESMA bars.



**Figure 20:** Comparison cyclic response of JBC2-Ni55Ti45 (Youssef et al. 2008) with (a) JBC2-FeMnAlNi, (b) JBC2-FeNCATB and (c) JBC2-Ni56Ti44; and (d) Numerical stress-strain curves of the SESMAs.

Figure 24 illustrates the schematic view, cyclic response, and cracking pattern of JBC2-FeNCATB with or without PHRT. As can be seen in Figure 24, the use of PHRT enhanced the seismic performance of JBC2-FeNCATB (in the cases of stiffness, load-bearing capacity, and energy dissipation) even in displacements less than 75 mm. Furthermore, the beam-column joint without PHRT requires a greater amount of SMA in the plastic hinge to resist a given moment demand (see Figure 24a and b). As a result, the parallel use of FeNCATB and PHRT can significantly reduce the number and size of the SESMA bars (required at the plastic hinge) and can increase the seismic performance of hybrid SMA-steel BCJs.



**Figure 21:** Seismic response of JBC2 reinforced with four different types of SESMAs: (a) envelopes for the load-displacement relationships, (b) cumulative energy dissipation

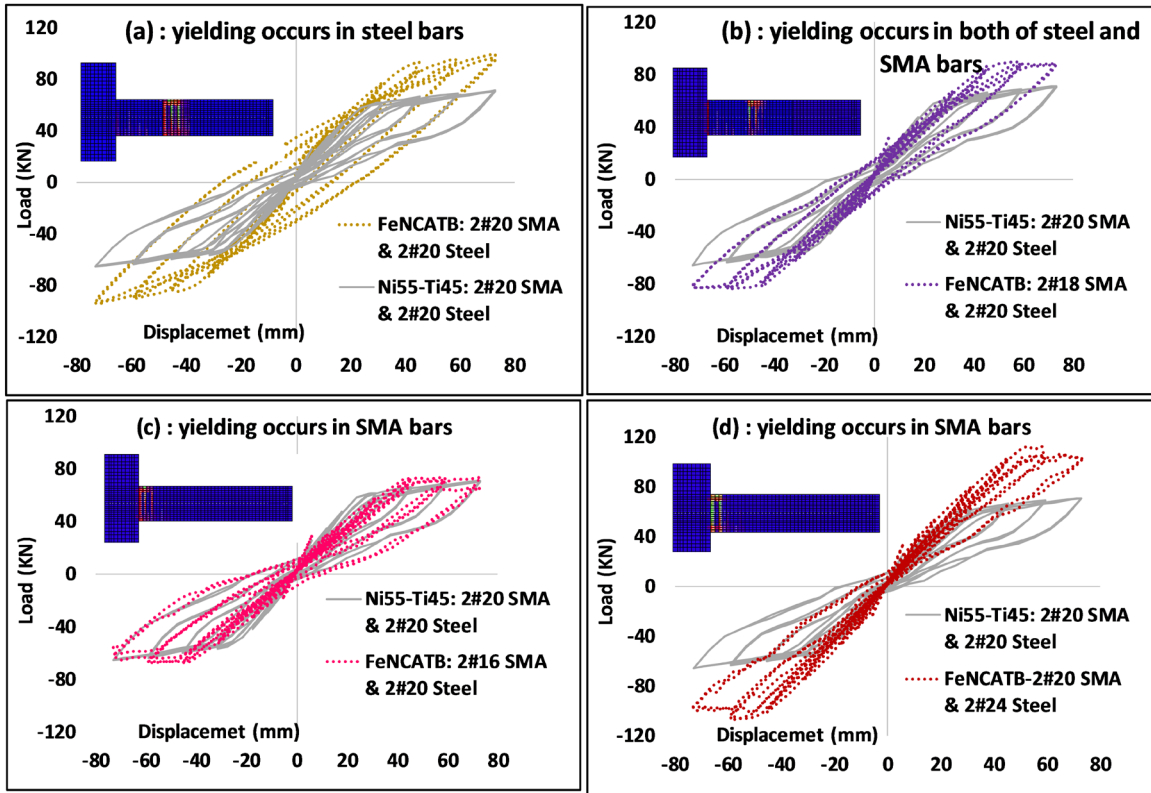


Figure 22: Results of the numerical investigation on JBC2 reinforced with different amounts of FeNCATB-SMA and steel reinforcement.

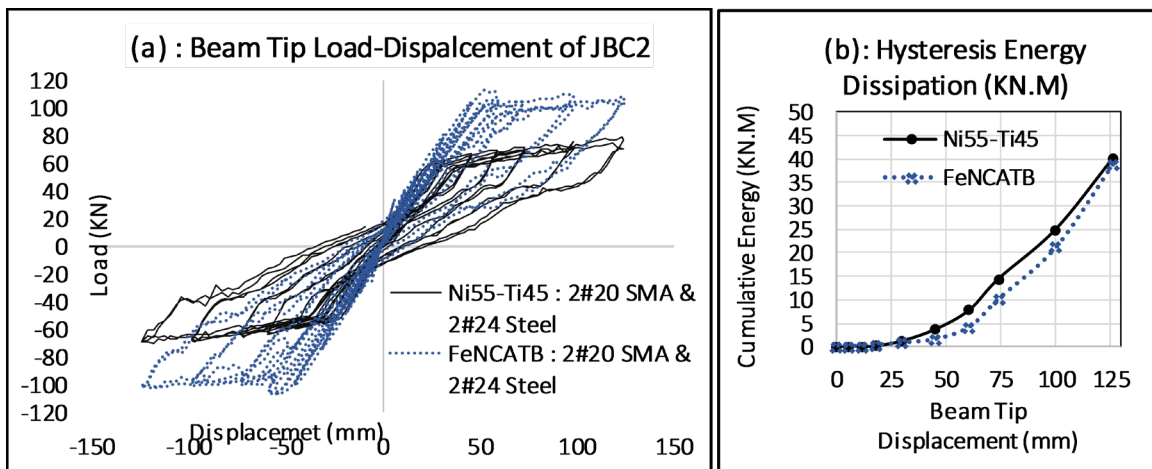
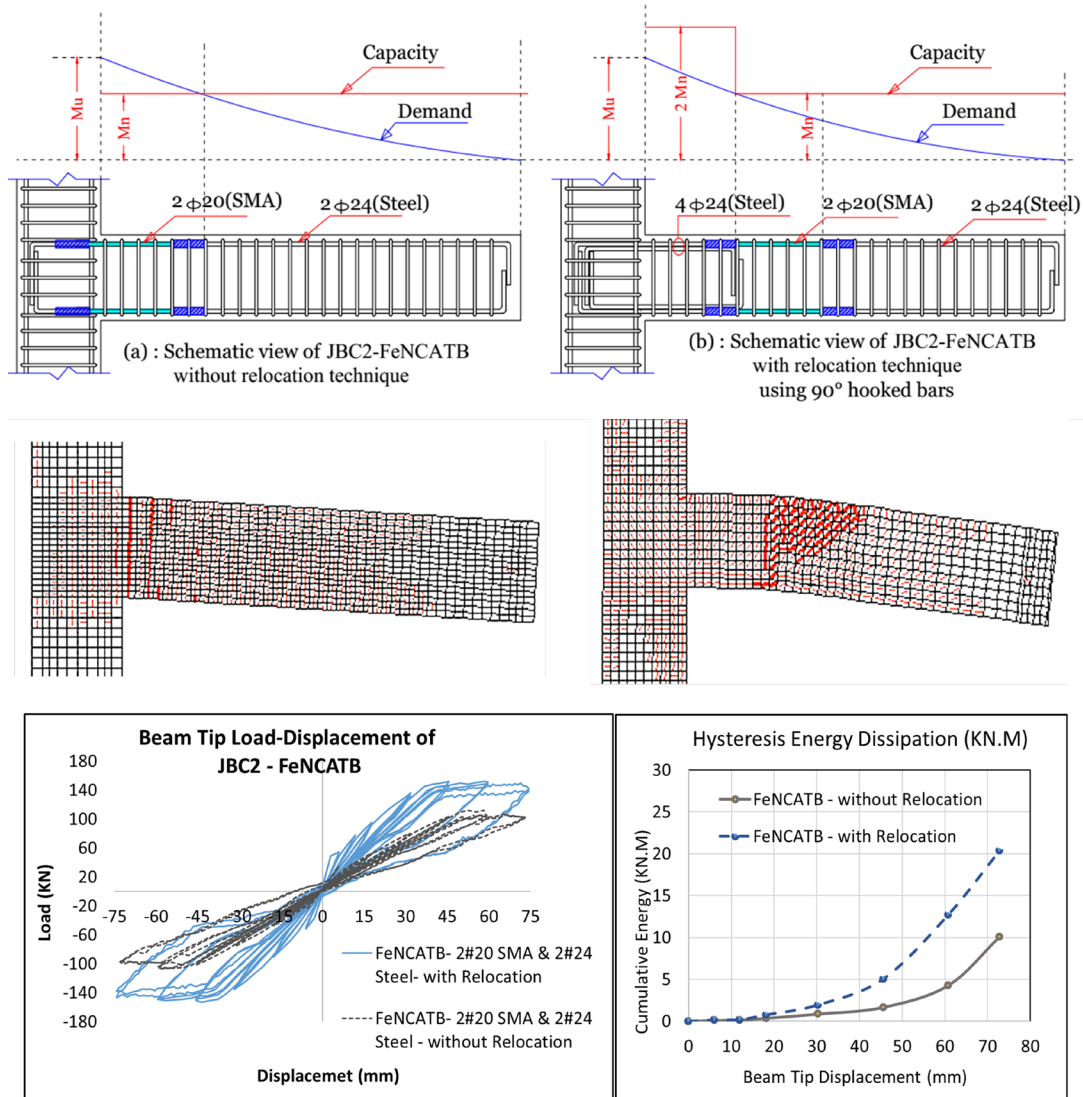


Figure 23: Comparison of (a) cyclic response and (b) hysteresis energy dissipation of JBC2-Ni55Ti45 with JBC2-FeNCATB up to 125 mm displacement



**Figure 24:** Schematic view, cracking pattern, cyclic response, and energy dissipation of JBC2-FeNCATB with or without PHRT

### 5 CONCLUSIONS

A numerical investigation was carried out to study the effectiveness of nonlinear finite element modeling (by VecTor2) in reproducing the nonlinear cyclic response of self-centering SMA-steel beam-column joints with special detailing. In this regard, a simple and more accurate approach for simulating the cyclic behavior of these BCJs (using VecTor2) was proposed. The proposed model focused on achieving more accurate modeling of bond-slip relationship and stress-strain behavior of SESMA rebars. Comparison between the numerical results and their experimental counterparts reveals that the adopted modeling approach, despite its simplicity, can provide excellent predictions. Furthermore, using the calibrated model, JBC2, a parametric study was performed to evaluate the effect of various design parameters on the nonlinear cyclic response of SESMA-BCJs. Finally, an approach for reducing the amount of required SESMA (at the plastic hinge) and for enhancing the seismic performance of hybrid SMA-steel beam-column joints was proposed and its efficacy was examined.

According to the numerical investigation, the following conclusions can be drawn:

1. The behavior model of the superelastic Shape Memory Alloy adopted by VecTor2 needs to be improved. In some cases, this model is not sufficiently accurate for simulating the unloading path of the stress-strain curve (from the Martensite phase to the Austenite phase) and for predicting the lower plateau stress on this path. Indeed, in this model, the unloading path is estimated by a constant function instead of a linear one. In order to address these shortcomings, in the current study, the superelastic behavior of SMA reinforcing bars was modeled by a modified

SMA model. This model consists of the parallel use of a linear elastic approach added by SMA2 model available in VecTor2.

2. To obtain a more accurate result, an appropriate user-defined bond stress-slip relationship is required for the sand coated SESMA bars. In the present study, a relationship derived from the previous tests (see Figure 3) was examined and a good result was gained.
3. The effects of mechanical properties of SESMA rebars (see Figure 13) on the seismic behavior of the SESMA-BCJs can be summarized as follows: increasing  $F_y$  and  $K_2$  increases load-bearing capacity and decreases energy dissipation capacity. Initial stiffness and energy dissipation increase with increasing  $K_1$  and  $K_2$ . Increasing  $K_3$  decreases energy dissipation.
4. To reduce the amount of required SESMA at the plastic hinge and to enhance the seismic performance of hybrid SMA-steel RC BCJs, two ideas were introduced and their effectiveness was confirmed by the numerical investigation. (a) Utilizing FeNCATB bars which have lower fabrication cost and greater seismic characteristics than commercially available NiTi, in the plastic hinge zone. (b) Relocating the plastic hinge to the other region of the beam with lower moment demand, resulting in decreasing the amount of the required SESMA. This technique also makes optimal use of the SESMA's capacity and increases the stiffness, strength, and energy dissipation of SMA-steel BCJs through employing additional steel rebars instead of high-cost SESMA bars (see Figure 24).

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